

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					Issuer Name and Ticker or Trading Symbol							ol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kardis Philli	p John II	[				HIM M ]	IERA	INVES'	ΤМ	ENT	COR	P [		_X_ Director	ŕ		6 Owner	
(Last)	(First)	(First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							_X_ Officer (give title below) Other (specify below) Chief Executive Officer					
C/O: CHIMERA INVESTMENT					1/1/2023													
CORPORAT SUITE 2400	TON, 630	) FIFTF	H AVE.	NUE,														
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)						6. Individual or Joint/Group Filing (Check Applicable Line)							
NEW YORK, NY 10111 (City) (State) (Zip)											X_Form filed by One Reporting Person Form filed by More than One Reporting Person							
			Table I	- Non-	Der	ivati	ve Secu	rities Acq	uire	ed, Di	sposed o	f, or	Bene	ficially Owne	d			
1. Title of Security (Instr. 3)  2. Trans. 1				2A. Deemed Execution Date, if any		3. Trans. Co. (Instr. 8)	4. Securities Acqu or Disposed of (D (Instr. 3, 4 and 5)		) Fol		ollowing Reported Transaction(s) nstr. 3 and 4)		Ownership Form: Direct (D)	Beneficial Ownership				
						Code	V	Amou	(A) o	r Pri	ice				or Indirect (Instr. 4)	(Instr. 4)		
Common Stock 1/1/202				1/1/202	3			A		20169	( <u>1</u> ). <b>A</b>	\$0	0	310135 (2)			D	
	Tab	le II - Der	rivative	Securit	ies l	Bene	ficially	Owned (a	e.g.,	puts,	calls, wa	rran	ts, op	otions, conver	tible secu	rities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Ex	3A. Deen Execution Date, if a	ution (Ins			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date			rities U	Inderlying Security	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				C	ode	V	(A)	(D)	Date Exe	e rcisable	Expiration Date	Title	Amou	unt or Number of		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	

### **Explanation of Responses:**

- (1) Represents vesting of shares of Chimera common stock underlying performance stock units ("PSUs") and the dividend equivalent rights ("DERs") accrued on such PSUs since the grant date. Each PSU has the economic equivalent of one share of Chimera common stock. The reporting person elected to defer share settlement until separation of service (such deferred share units are referred to as "DSUs") and does not intend to report the actual delivery of such DSUs.
- (2) Dividend equivalent rights ("DERs') issued on RSUs, PSUs and DSUs are included in the reporting person's common stock holding balance. Each DER is the economic equivalent of one share of Chimera common stock.

#### Reporting Owners

reporting owners				_			
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kardis Phillip John II							
C/O: CHIMERA INVESTMENT CORPORATION	X		Chief Executive Officer				
630 FIFTH AVENUE, SUITE 2400	Λ		Chief Executive Officer				
NEW YORK, NY 10111							

#### Signatures

/s/ Phillip J. Kardis II

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unles number.	ss the form displays a currently valid OMB control