

# CHIMERA INVESTMENT CORP

## FORM 8-K (Current report filing)

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 8-K

### CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): <u>December 5, 2013</u>

#### **CHIMERA INVESTMENT CORPORATION**

(Exact name of registrant as specified in its charter)

Maryland1-3379626-0630461(State or Other Jurisdiction<br/>of Incorporation)(Commission<br/>File Number)(IRS Employer<br/>Identification No.)

1211 Avenue of the Americas
Suite 2902
New York, New York
(Address of principal executive offices)

10036 (Zip Code)

Registrant's telephone number, including area code: (646) 454-3759

#### No Change

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:
☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

- Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.
- (b) On December 6, 2013, the Board of Directors of Chimera Investment Corporation ("Chimera") appointed Mohit Marria as Chief Investment Officer of Chimera, effective immediately. Mr. Marria was previously the head residential mortgage credit trader at Fixed Income Discount Advisory Company ("FIDAC"), the external manager of Chimera.

Mr. Marria, 35, joined FIDAC in August 2005. While at FIDAC, Mr. Marria has had responsibility for the development and implementation of Chimera's trading strategies in residential mortgage-backed securities, residential mortgage loans and its derivatives portfolio. He has been a member of the investment team since Chimera's inception. Mr. Marria joined FIDAC from American International Group (AIG). Prior to working at AIG, Mr. Marria worked at Metropolitan Life Insurance Company. Mr. Marria earned a Bachelor's Degree in Finance and a Masters of Business Administration each from the Rutgers University.

FIDAC employs Mr. Marria as an Executive Vice President. There are no other arrangements or understandings between Mr. Marria and any other person pursuant to which he was appointed. There are also no family relationships between Mr. Marria and any director or executive officer of the Company and Mr. Marria has no direct or indirect material interest in any transaction required to be disclosed pursuant to Item 404(a) of Regulation S-K (e.g., related party transactions).

Effective December 5, 2013, Christian Woschenko resigned from his position as the Head of Investments of Chimera.

Item 9.01 Financial Statements and Exhibits

- (d) Exhibits
  - 99.1 Press Release, dated December 10, 2013, issued by Chimera Investment Corporation.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Chimera Investment Corporation

By: /s/ Rob Colligan

Name: Rob Colligan

Title: Chief Financial Officer

Date: December 10, 2013

#### **Chimera Investment Corporation Announces the Appointment of Chief Investment Officer**

NEW YORK--(BUSINESS WIRE)--December 10, 2013--Chimera Investment Corporation (NYSE:CIM) ("Chimera") today announced that it has promoted Mohit Marria to be the Chief Investment Officer of Chimera. Mr. Marria was previously the head residential mortgage credit trader at Fixed Income Discount Advisory Company ("FIDAC"), the external manager of Chimera.

Matthew Lambiase, Chimera's Chief Executive Officer, said "Mohit's in-depth expertise in credit sensitive investments in the residential mortgage markets will strengthen our residential credit platform for the benefit of our shareholders. We are very fortunate to have an individual as talented as Mohit with such strong experience serve in this important role for Chimera."

Mr. Marria joined FIDAC in August 2005. While at FIDAC, Mr. Marria has had responsibility for the development and implementation of Chimera's trading strategies in residential mortgage-backed securities, residential mortgage loans and its derivatives portfolio. He has been a member of the investment team since Chimera's inception. Mr. Marria joined FIDAC from American International Group (AIG). Prior to working at AIG, Mr. Marria worked at Metropolitan Life Insurance Company. Mr. Marria earned a Bachelor's Degree in Finance and a Masters of Business Administration each from the Rutgers University.

Christian J. Woschenko, Chimera's Head of Investments, has resigned to pursue other opportunities. Mr. Lambiase said "I want to personally thank Chris for his many years of service and contributions."

Chimera Investment Corporation invests in residential mortgage loans, residential mortgage-backed securities, real estate-related securities and various other asset classes. Chimera's principal business objective is to generate income from the spread between yields on its investments and its cost of borrowing and hedging activities. Chimera is a Maryland corporation that has elected to be taxed as a real estate investment trust ("REIT").

This press release contains forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. These statements are based on the current beliefs and expectations of Chimera's management and are subject to significant risks, uncertainties and other factors, including the impact of the transition to a new independent registered public accounting firm and Chimera's ability to timely complete the process necessary to file its quarterly and annual reports for the quarters and year subsequent to September 30, 2012. Chimera does not undertake, and specifically disclaims all obligation, to publicly release the result of any revisions which may be made to any forward-looking statements to reflect the occurrence of anticipated or unanticipated events or circumstances after the date of such statements, see "Item 1A — Risk Factors" in Chimera's Annual Report on Form 10-K for the year ended December 31, 2011 and subsequent quarterly report on Form 10-Q.

#### CONTACT:

Chimera Investment Corporation Investor Relations, 646-454-3759 www.chimerareit.com