

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	ssue	r Name	and Ticke	er or	Tradin	g Symb	-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kortman Kelley						HIM [M]	IERA 	INVES	TM	ENT	COR	Director			Owner		
(Last)	(Last) (First) (Middle)				3. I	Date	of Earli	est Transa	ction	ı (MM/E	D/YYYY		_X_ Officer (give title below) Other (specify below)  Principal Accounting Officer				
C/O: CHIMERA INVESTMENT CORPORATION, 630 FIFTH								12/1	5/2	021							
AVENUE, S			HI.														
(Street)					4. I	f An	nendme	nt, Date O	rigin	al File	d (MM/DI	YY) 6. Individual or	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10111 (City) (State) (Zip)												_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table	I - Nor	1-Der	ivati	ive Secı	ırities Acc	quire	ed, Dis	posed o	f, or	Beneficially Owned	i			
1.Title of Security (Instr. 3)  2. Trans			2. Trans. Date		Execution Date, if any		3. Trans. Co (Instr. 8)	de 4. Securities A or Disposed of (Instr. 3, 4 and		osed of (D)	iired (A	5. Amount of Securities Following Reported T (Instr. 3 and 4)	Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4)			7. Nature of Indirect Beneficial Ownership	
							Code	V	Amoun	(A) or (D)	Pric	e			or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock 12				12/15/2	021			A		7817 <u>(1</u>	A	\$0	210	21690 (2)		D	
Common Stock 12/15/				2021	21		F		1945 <u>(3</u>	D	\$15.2	1 1	19745		D		
Series B Preferred Stock												5	5800		D		
	Tab	le II - Dei	rivative	e Secur	ities	Bene	eficially	Owned (	e.g.,	puts, c	alls, wa	rran	ts, options, convert	ible secu	ırities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative		Execution	3A. Deemed Execution Date, if any		ans. Code 5. Numb Derivative Acquired Disposed (Instr. 3,		(A) or of (D)	6. Date Exercisable and Expiration Date			Secur Deriv	ities Underlying I ative Security S	Derivative	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security				Code		(A)	(D)			Amount or Number of Shares		Direct (D) or Indirect (I) (Instr. 4)				

### **Explanation of Responses:**

- (1) Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). Each RSU has the economic equivalent of one share of Chimera common stock. The RSUs are scheduled to vest one-third per year on the first, second and third anniversaries of the grant date and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.
- (2) Dividend equivalent rights ("DERs") issued on RSUs are included in the reporting person's common stock holding balance. Each DER is the economic equivalent of one share of Chimera common stock.
- (3) Shares reported were withheld for payment of taxes associated with the vesting of prior grants of RSUs.tnote

### Reporting Owners

Relationships						
Director	10% Owner	r ······ r · r · · · · · · · · · · · ·	Other			
		Principal Accounting Officer				
			Director 10% Owner Officer			

#### **Signatures**

/s/ Kelley Kortman 12/21/2021

\*\*Signature of Reporting Person Date

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.